

2018 Current Fiscal Year Report: National Institute on Alcohol Abuse and Alcoholism Initial Review Group

Report Run Date: 06/05/2019 11:58:39 AM

1. Department or Agency

Department of Health and Human Services

2. Fiscal Year

2018

3. Committee or Subcommittee

National Institute on Alcohol Abuse and Alcoholism Initial Review Group

3b. GSA Committee No.

781

4. Is this New During Fiscal Year?

No

5. Current Charter

01/28/1987

6. Expected Renewal Date

7. Expected Term Date

8a. Was Terminated During Fiscal Year?

No

8b. Specific Termination Authority

8c. Actual Term Date

9. Agency Recommendation for Next Fiscal Year

Continue

10a. Legislation Req to Terminate?

Not Applicable

10b. Legislation Pending?

Not Applicable

11. Establishment Authority Authorized by Law

12. Specific Establishment Authority

42 U.S.C. 282(b)(6)

13. Effective Date

11/20/1985

14. Committee Type

Continuing

14c. Presidential?

No

15. Description of Committee Grant Review Committee

16a. Total Number of Reports

No Reports for this Fiscal Year

17a. Open 0 17b. Closed 12 17c. Partially Closed 0 Other Activities 0 17d. Total 12 Meetings and Dates

Purpose	Start	End
NIH Peer Review	10/17/2017	- 10/17/2017
NIH Peer Review	10/23/2017	- 10/23/2017
NIH Peer Review	10/24/2017	- 10/24/2017
NIH Peer Review	11/01/2017	- 11/01/2017
NIH Peer Review	03/01/2018	- 03/01/2018
NIH Peer Review	03/05/2018	- 03/05/2018
NIH Peer Review	03/06/2018	- 03/06/2018
NIH Peer Review	03/23/2018	- 03/23/2018
NIH Peer Review	06/04/2018	- 06/04/2018
NIH Peer Review	06/06/2018	- 06/06/2018
NIH Peer Review	06/12/2018	- 06/12/2018
NIH Peer Review	06/15/2018	- 06/15/2018

Number of Committee Meetings Listed: 12

Current FY

Next FY

18a(1). Personnel Pmts to Non-Federal Members	\$39,500.00	\$39,500.00
18a(2). Personnel Pmts to Federal Members	\$0.00	\$0.00
18a(3). Personnel Pmts to Federal Staff	\$796,179.00	\$808,925.00
18a(4). Personnel Pmts to Non-Member Consultants	\$0.00	\$0.00
18b(1). Travel and Per Diem to Non-Federal Members	\$171,902.00	\$173,138.00
18b(2). Travel and Per Diem to Federal Members	\$0.00	\$0.00
18b(3). Travel and Per Diem to Federal Staff	\$0.00	\$0.00
18b(4). Travel and Per Diem to Non-member Consultants	\$0.00	\$0.00
18c. Other(rents,user charges, graphics, printing, mail, etc.)	\$8,592.00	\$8,736.00
18d. Total	\$1,016,173.00	\$1,030,299.00
19. Federal Staff Support Years (FTE)	5.60	5.60

20a. How does the Committee accomplish its purpose?

This committee is composed of recognized biomedical and/or behavioral research authorities who represent the forefront of research and technical knowledge and who provide first-level merit review of highly scientific and technical research grant applications, cooperative agreements and/or contract proposals in the field of biomedical research and behavioral science as it relates to alcohol abuse and alcoholism. The committee reviewed 460 grants requesting \$122,134,295.

20b. How does the Committee balance its membership?

The members of this committee are authorities knowledgeable in alcohol abuse and alcoholism in the areas of biomedical, basic and clinical neuroscience, basic behavioral science, treatment, health services, epidemiology, prevention, human development, AIDS and other related areas.

20c. How frequent and relevant are the Committee Meetings?

The committee held twelve meetings during this reporting period. These meetings supported the mission and responsibility of the Institute.

20d. Why can't the advice or information this committee provides be obtained elsewhere?

This committee is composed of recognized biomedical and/or behavioral research authorities who represent the forefront of research and technical knowledge and who provide first-level merit review of highly scientific and technical research grant applications, cooperative agreements and/or contract proposals. These evaluations and recommendations cannot be obtained from other sources because of the specialized, complex nature of the applications, cooperative agreements and/or contract proposals

which requires a unique balance and breadth of expertise that is not available on the NIH staff or from other established sources.

20e. Why is it necessary to close and/or partially closed committee meetings?

The meetings of the committee were closed to the public for the review of grant applications, cooperative agreements and/or contract proposals. Sections 552(c)(4) and 552b(c)(6) of the Government in the Sunshine Act permit the closing of meetings where discussion could reveal confidential trade secrets or commercial property such as patentable materials and personal information, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

21. Remarks

Reports: This committee did not produce any public reports. Members: The terms for Lou Ann Brown, James Fell, Robert Miranda and John Woodward have changed due to reappointments. As such, their term of service end date is different than what was reported on the FY17 ACR. The term end date for Brian Borsari (early retirement from IRG - June 2017) has changed from what was report in the FY17 ACR. The DFO and Committee Decision Maker positions are held by the same individual because of the assignment of responsibilities within the Institute.

Designated Federal Officer

ABRAHAM P. BAUTISTA DIRECTOR, OFFICE OF EXTRAMURAL ACTIVITIES

Committee Members	Start	End	Occupation	Member Designation
AGRAWAL, ARPANA	06/06/2018	06/06/2018	ASSOCIATE PROFESSOR	Peer Review Consultant Member
ALLEN, JAMES	07/27/2017	06/30/2021	PROFESSOR	Peer Review Consultant Member
ATKINSON, NIGEL	03/01/2018	03/01/2018	ASSOCIATE PROFESSOR	Peer Review Consultant Member
BEARER, CYNTHIA	10/24/2017	10/24/2017	MARY GRAY COBEY PROFESSOR	Peer Review Consultant Member
BOEHM, STEPHEN	10/24/2017	10/24/2017	PROFESSOR	Peer Review Consultant Member
BORSARI, BRIAN	07/01/2015	06/30/2018	CLINICAL PSYCHOLOGIST	Peer Review Consultant Member
BRADLEY, KATHARINE	07/01/2015	06/30/2019	SENIOR INVESTIGATOR	Peer Review Consultant Member
BROWN, LOU ANN	07/01/2015	06/30/2019	GODDARD NEONATOLOGY SCHOLAR AND PROFESSOR	Peer Review Consultant Member
CAETANO, RAUL	03/05/2018	03/05/2018	SENIOR RESEARCH SCIENTIST	Peer Review Consultant Member
CALLACI, JOHN	07/01/2015	06/30/2019	ASSOCIATE PROFESSOR	Peer Review Consultant Member
CAPALDI, DEBORAH	07/01/2014	06/30/2018	SENIOR SCIENTIST	Peer Review Consultant Member
CARTER, PATRICK	06/04/2018	06/04/2018	ASSISTANT PROFESSOR	Peer Review Consultant Member

CHANG, SULIE	07/01/2015	06/30/2019	DIRECTOR AND PROFESSOR	Peer Review Consultant Member
CHEN, SHAO-YU	06/12/2018	06/12/2018	PROFESSOR AND UNIVERSITY SCHOLAR	Peer Review Consultant Member
CHILDS, EMMA	06/15/2018	06/15/2018	ASSOCIATE PROFESSOR	Peer Review Consultant Member
CHOI, DOO-SUP	09/12/2016	06/30/2020	PROFESSOR OF PHARMACOLOGY AND PSYCHIATRY	Peer Review Consultant Member
CLIFFORD, PATRICK	07/01/2015	06/30/2019	PROFESSOR AND ASSOCIATE DEAN FOR RESEARCH	Peer Review Consultant Member
CRANFORD, JAMES	06/04/2018	06/04/2018	ASSOCIATE RESEARCH SCIENTIST	Peer Review Consultant Member
CUNNINGHAM, REBECCA	10/06/2017	06/30/2021	ASSOCIATE CHAIR FOR RESEARCH AND PROFESSOR	Peer Review Consultant Member
D'AMICO, ELIZABETH	10/23/2017	10/23/2017	SENIOR BEHAVIORAL SCIENTIST	Peer Review Consultant Member
DITRE, JOSEPH	03/01/2018	03/01/2018	ASSOCIATE PROFESSOR	Peer Review Consultant Member
DUDEJA, VIKAS	06/12/2018	06/12/2018	ASSISTANT PROFESSOR OF SURGERY	Peer Review Consultant Member
ENGLEMAN, ERIC	07/03/2018	06/30/2022	ASSOCIATE PROFESSOR	Peer Review Consultant Member
FELDSTEIN EWING, SARAH	07/01/2014	06/30/2018	PROFESSOR	Peer Review Consultant Member
FELL, JAMES	07/01/2014	06/30/2020	PRINCIPAL RESEARCH SCIENTIST	Peer Review Consultant Member
FLEMING, MICHAEL	07/01/2014	06/30/2018	PROFESSOR	Peer Review Consultant Member
FOSTER, MICHELLE	06/12/2018	06/12/2018	ASSOCIATE PROFESSOR	Peer Review Consultant Member
FUDGE, JULIE	03/01/2018	03/01/2018	ASSOCIATE PROFESSOR	Peer Review Consultant Member
FURR-HOLDEN, C	07/22/2016	06/30/2020	C.S. MOTT ENDOWED PROFESSOR OF PUBLIC HEALTH	Peer Review Consultant Member
GEORGE, OLIVIER	06/06/2018	06/06/2018	ASSOCIATE PROFESSOR	Peer Review Consultant Member
GERLAI, ROBERT	10/24/2017	10/24/2017	PROFESSOR	Peer Review Consultant Member
GILPIN, NICHOLAS	06/06/2018	06/06/2018	ASSOCIATE PROFESSOR	Peer Review Consultant Member
GIZER, IAN	07/24/2017	06/30/2021	ASSOCIATE PROFESSOR	Peer Review Consultant Member
GOLDING, MICHAEL	03/06/2018	03/06/2018	ASSISTANT PROFESSOR	Peer Review Consultant Member
GUKOVSKAYA, ANNA	06/12/2018	06/12/2018	PROFESSOR	Peer Review Consultant Member
HADDAD, GEORGES	07/01/2014	06/30/2018	PROFESSOR	Peer Review Consultant Member
HAMILTON, DEREK	07/01/2014	06/30/2020	PROFESSOR	Peer Review Consultant Member
HASHIMOTO-TORII, KAZUE	07/01/2016	06/30/2020	PRINCIPAL INVESTIGATOR	Peer Review Consultant Member
HASLER, BRANT	03/01/2018	03/01/2018	ASSISTANT PROFESSOR	Peer Review Consultant Member
HOMANICS, GREGG	07/01/2018	06/30/2022	PROFESSOR OF ANESTHESIOLOGY	Peer Review Consultant Member
IWAKIRI, YASUKO	07/01/2016	06/30/2020	ASSOCIATE PROFESSOR OF MEDICINE	Peer Review Consultant Member

JONES, SARA	07/01/2017	06/30/2021	PROFESSOR	Peer Review Consultant Member
KARNO, MITCHELL	06/15/2018	06/15/2018	RESEARCH PSYCHOLOGIST	Peer Review Consultant Member
KAUN, KARLA	03/01/2018	03/01/2018	ASSISTANT PROFESSOR	Peer Review Consultant Member
KEYES, KATHERINE	03/05/2018	03/05/2018	ASSOCIATE PROFESSOR	Peer Review Consultant Member
KHAMBU, BILON	06/12/2018	06/12/2018	ASSISTANT RESEARCH PROFESSOR	Peer Review Consultant Member
KING, KEVIN	09/20/2016	06/30/2020	ASSOCIATE PROFESSOR	Peer Review Consultant Member
KROENKE, CHRISTOPHER	03/01/2018	03/01/2018	ASSOCIATE PROFESSOR	Peer Review Consultant Member
LANG, CHARLES	07/01/2013	06/30/2018	DISTINGUISHED PROFESSOR AND VICE-CHAIRMAN	Peer Review Consultant Member
LEBOURGEOIS, MONIQUE	10/24/2017	10/24/2017	ASSISTANT PROFESSOR	Peer Review Consultant Member
LEEMAN, ROBERT	06/04/2018	06/04/2018	ASSOCIATE PROFESSOR, DEPARTMENT OF HEALTH EDUCATION AND BEHAVIOR	Peer Review Consultant Member
MATHUR, BRIAN	10/24/2017	10/24/2017	ASSISTANT PROFESSOR	Peer Review Consultant Member
MCCLUNG, COLLEEN	10/24/2017	10/24/2017	ASSOCIATE PROFESSOR	Peer Review Consultant Member
MCGUE, MATTHEW	07/01/2016	06/30/2020	PROFESSOR	Peer Review Consultant Member
MIRANDA, ROBERT	09/13/2016	06/30/2020	ASSOCIATE PROFESSOR (RESEARCH)	Peer Review Consultant Member
MOLINA, PATRICIA	07/28/2017	06/30/2021	RICHARD ASHMAN PH.D. PROFESSOR AND DEPARTMENT HEAD	Peer Review Consultant Member
MOORMAN, DAVID	10/24/2017	10/24/2017	ASSISTANT PROFESSOR	Peer Review Consultant Member
MORALES, MARISELA	07/01/2017	06/30/2021	SENIOR INVESTIGATOR & BRANCH CHIEF	Regular Government Employee (RGE) Member
MURPHY, JAMES	09/12/2016	06/30/2020	PROFESSOR OF PSYCHOLOGY	Peer Review Consultant Member
NEIGHBORS, CLAYTON	07/01/2015	06/30/2019	PROFESSOR AND DIRECTOR	Peer Review Consultant Member
NIXON, SARA	07/01/2016	06/30/2020	CO-VICE CHAIR FOR RESEARCH	Peer Review Consultant Member
OLIVE, M.	07/01/2014	06/30/2018	ASSOCIATE PROFESSOR	Peer Review Consultant Member
ONDERSMA, STEVEN	03/05/2018	03/05/2018	PROFESSOR	Peer Review Consultant Member
PANDEY, SUBHASH	07/01/2015	06/30/2019	PROFESSOR OF BIOCHEMISTRY IN PSYCHIATRY (WITH TENURE)	Peer Review Consultant Member
PATRICK, MEGAN	07/07/2018	06/30/2022	RESEARCH ASSOCIATE PROFESSOR	Peer Review Consultant Member
PEDERSEN, ERIC	06/04/2018	06/04/2018	BEHAVIORAL SCIENTIST	Peer Review Consultant Member
PERSIDSKY, YURI	03/01/2018	03/01/2018	PROFESSOR AND CHAIR	Peer Review Consultant Member
POPOVA, SVETLANA	10/23/2017	10/23/2017	SENIOR SCIENTIST	Peer Review Consultant Member
PROSSER, REBECCA	03/01/2018	03/01/2018	ASSOCIATE PROFESSOR	Peer Review Consultant Member
RAMADOSS, JAYANTH	10/23/2017	10/23/2017	ASSISTANT PROFESSOR	Peer Review Consultant Member

RAY, LARA	10/25/2017	06/30/2019	PROFESSOR	Peer Review Consultant Member
READ, JENNIFER	07/01/2015	06/30/2019	PROFESSOR	Peer Review Consultant Member
RICHARDSON, HEATHER	07/01/2016	06/30/2020	ASSOCIATE PROFESSOR	Peer Review Consultant Member
RICHARDS, TAMARA	07/01/2015	06/30/2018	PROFESSOR AND VICE CHAIR	Peer Review Consultant Member
ROTHMAN, EMILY	06/04/2018	06/04/2018	ASSOCIATE PROFESSOR	Peer Review Consultant Member
SABINO, VALENTINA	07/01/2017	06/30/2021	ASSOCIATE PROFESSOR	Peer Review Consultant Member
SAHIN-TOTH, MIKLOS	06/12/2018	06/12/2018	PROFESSOR	Peer Review Consultant Member
SALUJA, ASHOK	07/01/2016	08/14/2018	SENIOR ASSOCIATE DEAN FOR RESEARCH DEVELOPMENT	Peer Review Consultant Member
SCHLEGEL, AMNON	03/06/2018	03/06/2018	ASSOCIATE PROFESSOR	Peer Review Consultant Member
SCHNABL, BERND	07/01/2015	06/30/2019	ASSOCIATE PROFESSOR	Peer Review Consultant Member
SCHULTE, TILMAN	07/01/2015	06/30/2019	PROGRAM DIRECTOR	Peer Review Consultant Member
SHAH, YATRIK	07/01/2014	06/30/2018	ASSOCIATE PROFESSOR	Peer Review Consultant Member
SHOREY, RYAN	03/05/2018	03/05/2018	ASSISTANT PROFESSOR	Peer Review Consultant Member
SIMPSON, TRACY	09/28/2017	06/30/2018	PROFESSOR	Peer Review Consultant Member
SMITH, JEFFREY	06/06/2018	06/06/2018	SENIOR INVESTIGATOR AND CHIEF	Peer Review Consultant Member
SPARTA, DENNIS	10/24/2017	10/24/2017	ASSISTANT PROFESSOR	Peer Review Consultant Member
SPIGELMAN, IGOR	06/06/2018	06/06/2018	PROFESSOR	Peer Review Consultant Member
STASIEWICZ, PAUL	11/01/2017	11/01/2017	SENIOR RESEARCH SCIENTIST	Peer Review Consultant Member
SUN, ZHAOLI	07/01/2014	06/30/2018	ASSOCIATE PROFESSOR	Peer Review Consultant Member
THIELE, TODD	07/01/2015	06/30/2019	PROFESSOR AND DIRECTOR OF THE BEHAVIORAL NEUROSCIENCE PROGRAM	Peer Review Consultant Member
THOMAS, MICHAEL	06/06/2018	06/06/2018	PROFESSOR	Peer Review Consultant Member
TUMA, PAMELA	07/01/2017	06/30/2021	PROFESSOR	Peer Review Consultant Member
VOCCI, FRANK	08/06/2014	06/30/2018	PRESIDENT	Peer Review Consultant Member
WANG, LI	07/01/2016	08/14/2018	PROFESSOR	Peer Review Consultant Member
WEIL, ZACHARY	03/01/2018	03/01/2018	ASSISTANT PROFESSOR	Peer Review Consultant Member
WINDER, DANNY	07/01/2014	06/30/2018	PROFESSOR	Peer Review Consultant Member
WISDOM, JENNIFER	06/15/2018	06/15/2018	PROFESSOR	Peer Review Consultant Member
WOODWARD, JOHN	07/01/2015	06/30/2019	PROFESSOR	Peer Review Consultant Member
WOZNIAK, JEFFREY	07/01/2015	06/30/2019	ASSOCIATE PROFESSOR	Peer Review Consultant Member

YIN, XIAO-MING	07/01/2016 06/30/2020	LOUIS Y. MAZZINI PROFESSOR OF PATHOLOGY; VICE CHAIR FOR CLINICAL PATHOLOGY	Peer Review Consultant Member
YOU, MIN	07/01/2015 06/30/2019	PROFESSOR AND CHAIR	Peer Review Consultant Member
ZEMORE, SARAH	07/01/2013 06/30/2019	SENIOR SCIENTIST	Peer Review Consultant Member
ZHOU, FENG	07/01/2014 06/30/2018	PROFESSOR	Peer Review Consultant Member
ZWEBEN, ALLEN	07/01/2015 06/30/2019	PROFESSOR AND ASSOCIATE DEAN FOR RESEARCH AND ACADEMIC AFFAIRS	Peer Review Consultant Member

Number of Committee Members Listed: 104

Narrative Description

The goal of NIH research is to acquire new knowledge to help prevent, detect, diagnose, and treat disease and disability, from the rarest genetic disorder to the common cold. The NIH mission is to uncover new knowledge that will lead to better health for everyone. NIH works toward that mission by supporting the research of non-Federal scientists in universities, medical schools, hospitals, and research institutions throughout the country and abroad. Section 492 of the PHS Act states that The Secretary ...shall by regulation require appropriate technical and scientific peer review of - (A) applications...; and (B) biomedical and behavioral research and development contracts...

What are the most significant program outcomes associated with this committee?

Checked if Applies

Improvements to health or safety	<input type="checkbox"/>
Trust in government	<input type="checkbox"/>
Major policy changes	<input type="checkbox"/>
Advance in scientific research	<input checked="" type="checkbox"/>
Effective grant making	<input checked="" type="checkbox"/>
Improved service delivery	<input type="checkbox"/>
Increased customer satisfaction	<input type="checkbox"/>
Implementation of laws or regulatory requirements	<input type="checkbox"/>
Other	<input type="checkbox"/>

Outcome Comments

NA

What are the cost savings associated with this committee?

Checked if Applies

None	<input type="checkbox"/>
Unable to Determine	<input checked="" type="checkbox"/>
Under \$100,000	<input type="checkbox"/>

\$100,000 - \$500,000
\$500,001 - \$1,000,000
\$1,000,001 - \$5,000,000
\$5,000,001 - \$10,000,000
Over \$10,000,000
Cost Savings Other

☐
☐
☐
☐
☐
☐

Cost Savings Comments

NIH supported basic and clinical research accomplishments often take many years to unfold into new diagnostic tests and new ways to treat and prevent diseases.

What is the approximate Number of recommendations produced by this committee for the life of the committee?

5,542

Number of Recommendations Comments

Grant Review

What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?

13%

% of Recommendations Fully Implemented Comments

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?

13%

% of Recommendations Partially Implemented Comments

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on

the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?

Yes ☒ No ☐ Not Applicable ☐

Agency Feedback Comments

Actions taken by the IC are summarized in the Council minutes and published on the NIAAA website.

What other actions has the agency taken as a result of the committee's advice or recommendation?

Checked if Applies

Reorganized Priorities	<input type="checkbox"/>
Reallocated resources	<input type="checkbox"/>
Issued new regulation	<input type="checkbox"/>
Proposed legislation	<input type="checkbox"/>
Approved grants or other payments	<input checked="" type="checkbox"/>
Other	<input type="checkbox"/>

Action Comments

An action of approved or recommended for grants receiving initial peer review by this committee does not infer that the grant will be or has been funded. Research grant applications submitted to NIH must go through a two-step review process that includes the initial peer review for scientific and technical merit and a second step of review and approval by a National Advisory Council for program relevance. In addition, prior to an award or funding being made, NIH staff must conduct an administrative review for a number of other considerations. These include alignment with NIH's funding principles, review of the project budget, assessment of the applicant's management systems, determination of applicant eligibility, and compliance with public policy requirements. After all these steps have been completed, NIH officials make funding decisions on individual grant applications.

Is the Committee engaged in the review of applications for grants?

Yes

What is the estimated Number of grants reviewed for approval	460
What is the estimated Number of grants recommended for approval	460
What is the estimated Dollar Value of grants recommended for approval	\$122,134,295

Grant Review Comments

Grant Review

How is access provided to the information for the Committee's documentation?

Checked if Applies

Contact DFO	<input checked="" type="checkbox"/>
Online Agency Web Site	<input checked="" type="checkbox"/>
Online Committee Web Site	<input checked="" type="checkbox"/>
Online GSA FACA Web Site	<input checked="" type="checkbox"/>
Publications	<input type="checkbox"/>
Other	<input type="checkbox"/>

Access Comments

NA